

Prevea360 Health Plan Utilization Management Policy

Title: MICROPROCESSOR CONTROLLED KNEE PROSTHESES, WITH OR WITHOUT POLYCENTRIC, THREE-DIMENSIONAL ENDOSKELETAL HIP JOINT SYSTEM MP9638

Effective Date: May 1, 2024

This policy was developed with input from specialists in orthopedics and physical/rehabilitation medicine and endorsed by the Medical Policy Committee.

IMPORTANT INFORMATION – PLEASE READ BEFORE USING THIS POLICY

These services may or may not be covered by Prevea360 Health Plan. Coverage is subject to requirements in applicable federal or state laws. Please refer to the member's plan document for other specific coverage information. If there is a difference between this general information and the member's plan document, the member's plan document will be used to determine coverage. With respect to Medicare, Medicaid, and other government programs, this policy will apply unless these programs require different coverage. Members may contact Prevea360 Health Plan Customer Service at the phone number listed on their member identification card to discuss their benefits more specifically. Providers with questions may call the Provider Service Center. Please use the Quick Reference Guide on the Provider Communications page for the appropriate phone number.

<https://www.prevea360.com/Providers/Provider-communications-library>.

Prevea360 Health Plan medical policies are not medical advice. Members should consult with appropriate health care providers to obtain needed medical advice, care, and treatment.

PURPOSE

To promote consistency between utilization management reviewers by providing the criteria that determines the medical necessity.

BACKGROUND

Definitions

- A. **Prosthesis** is an artificial substitute or replacement of a part of the body. Examples include but are not limited to joints (e.g., hip or knee), limbs (i.e., arm or leg), teeth, eyes, or facial bones. Prosthetics are designed for functional or cosmetic reasons, or both. A prosthesis may be removable (e.g., leg or arm) or permanently implanted (e.g., artificial knee or hip).

Prevea360 Health Plan Utilization Management Policy

B. **Standard knee prosthetic** is defined by Medica as a fluid, pneumatically controlled mechanical device using a hydraulic damping cylinder. Standard devices are designed so that the person varies walking speed by consciously matching the movement of the shin portion of the prosthesis to the movement of the upper leg.

C. **Microprocessor-controlled knee prostheses** are based on scientific gait analyses and biomechanical studies which detect step time and alter knee extension levels to suit walking speed by using a computerized sensor to detect when the knee is fully extended. The prosthetist sets gait parameters which the computer automatically selects and applies according to the real-time pace of ambulation. The microprocessor then adjusts the swing phase of the gait automatically in an attempt to produce a more natural gait within patterns of varying walking or running speeds.

Advanced microprocessor models contain multiple sensors which gather and calculate data on various parameters such as amount of vertical load, ankle movement, and knee joint movement. The attempt is to mimic a more natural leg function while providing stability and gait fluidity when traversing uneven terrains and/or during sports activities. Examples of microprocessor-controlled prosthetic knee systems include, but are not limited to:

1. C-Leg® , C-Leg® Compact, and Genium™ Bionic Prosthetic System (Otto Bock Orthopedic Industry, Minneapolis MN)
2. Intelligent Prosthesis, Intelligent Prosthesis Plus, and The Adaptime (Endolite North America, Centerville OH)
3. Ossur Rheo Knee (Ossur-Flexfoot, Aliso Viejo CA)
4. Plié 2.0 (Freedom Innovations, LLC.).

D. **Polycentric, three-dimensional (3-D) endoskeletal hip joint system** is a spring-hydraulic prosthetic hip joint system. It is designed to store energy generated during the stance phase of ambulation to be transferred to the swing phase, helping to compensate for lacking hip muscles and to reduce the amount of energy needed for walking. The system produces a three-dimensional hip movement to facilitate a symmetrical and natural gait. The system also helps reduce the risk of falls, improves sitting posture, and reduces oblique pelvic positioning.

An example of a polycentric, three-dimensional hip joint system is the Helix-3D / 7E10 Hip Joint System (Otto Bock Orthopedic Industry, Minneapolis MN). The Helix-3D / 7E10 Hip Joint System (Otto Bock Orthopedic Industry, Minneapolis MN) can only be used in combination with the C-Leg microprocessor-controlled knee prosthesis.

E. **Functional ambulation levels:**

1. **Level 0** – Lacks ability or potential to ambulate or transfer safely with or without assistance and a prosthesis does not enhance quality of life or mobility
2. **Level 1** – Has ability or potential to use a prosthesis for transfers or ambulation on level surfaces at fixed cadence (typical of the limited and unlimited household ambulator).

Prevea360 Health Plan Utilization Management Policy

3. **Level 2** – Has ability or potential to ambulate with the ability to traverse low level environmental barriers such as curbs, stairs, or uneven surfaces (typical of the limited community ambulator).
 4. **Level 3** – Has ability or potential for ambulation at variable cadence (typical of the community ambulatory who has the ability to traverse most environmental barriers and may have vocational, therapeutic, or exercise activity that demands prosthetic utilization beyond simple locomotion).
 5. **Level 4** – Has ability or potential for prosthetic ambulation that exceeds basic ambulation skills such as those exhibiting high impact, stress, or energy levels (typical of the prosthetic demands of a child, active adult, or athlete).
- F. **Skeletal maturity** occurs when bone growth ceases after puberty and refers to demonstration of fusion of skeletal bones. Females reach skeletal maturity at approximately 16 years of age, while males reach skeletal maturity around 18 years of age. Radiographs of either the knee or of the hand and wrist with subsequent mathematical calculations are often used when exact measurement of skeletal maturity is warranted.

BENEFIT CONSIDERATIONS

1. Prior authorization **is required** for microprocessor controlled knee prostheses with or without polycentric, three-dimensional, endoskeletal hip joint system. Please see the prior authorization list for product specific prior authorization requirements.
2. Coverage may vary according to the terms of the member's plan document.
3. Coverage issues related to repair or replacement of a covered prosthetic are addressed in the member's plan document.
4. Use of microprocessor controlled knee prosthesis in the home, employment, or community setting solely for basic ambulation, including limited stair climbing, is not covered.
5. If the Medical Necessity Criteria and Benefit Considerations are met, Medica will authorize benefits within the limits in the member's plan document.
6. If it appears that the Medical Necessity Criteria and Benefit Considerations are not met, the individual's case will be reviewed by the medical director or an external reviewer. Practitioners are advised of the appeal process in their Provider Administrative Manual.

MEDICAL NECESSITY CRITERIA

I. Indications for Microprocessor Knee Prosthesis

Microprocessor knee prosthesis is considered medically necessary when documentation in the medical records indicates that **all of the following** criteria are met:

A. The member:

1. Sustained a trans-femoral or knee disarticulation amputation

Prevea360 Health Plan Utilization Management Policy

2. Has reached skeletal maturity
3. Displays functional ambulation level 3 or above
4. Displays adequate cognitive ability to master gait sequencing or care requirements of the higher level of technology
5. Has adequate cardiovascular, musculoskeletal, and neuromuscular reserve to allow for faster than normal walking pace
6. Has a need for daily long distance ambulation at variable rates (greater than 400 yards)
7. Has a need for regular ambulation on uneven terrain or for regular use on stairs
8. Is being fitted by a prosthetist experienced in fitting a microprocessor controlled knee prosthesis.

II. Indications for Microprocessor Knee Prosthesis with Polycentric 3-D Hip Joint System

Microprocessor knee prosthesis with polycentric 3-D hip joint system is considered medically necessary when documentation in the medical records indicates that **all of the following** criteria are met:

A. The member:

1. Meets all indications for a microprocessor-controlled knee prosthesis outlined above (I.A.1-8)
2. Currently utilizes a microprocessor-controlled knee or is being fitted for a microprocessor-controlled knee at the time of 3-D hip joint system fitting
3. Has sustained either a hip disarticulation amputation or a hemipelvectomy
4. Is being fitted by a prosthetist experienced in fitting both a microprocessor controlled knee prosthesis and a 3-D polycentric hip joint system.

CENTERS FOR MEDICARE & MEDICAID SERVICES (CMS)

- For Medicare members, refer to the following, as applicable at:
<https://www.cms.gov/medicare-coverage-database/new-search/search.aspx>

WISCONSIN BADGERCARE PLUS

- For members with State of Wisconsin BadgerCare Plus review Forward Health website for coverage and prior authorization requirements. ([Forward Health WI Portal](#))

Prevea360 Health Plan Utilization Management Policy

DOCUMENT HISTORY

Original Effective Date	Created April 19, 2023
MPC Endorsement Date(s)	04/19/2023, 04/17/2024
Administrative Updates	04/17/2024

References:

Pre-04/2016 MPC:

1. Blumentritt S, Ludwigs E, Bellmann M, Boiten H. The new Helix^{3D} hip joint. *Orthopadie-Technik*. May 2008.
2. Brodtkorb TH, Henriksson M, Kasper MS, et al. Cost-effectiveness of C-Leg compared with non-microprocessor-controlled knees: a modeling approach. *Arch Phys Med Rehabil*. 2008;89:24-30.
3. California Technology Assessment Forum (CTAF). *Technology Assessment, Microprocessor-controlled Prosthetic Knees*. San Francisco, CA. <http://www.ctaf.org/reports/microprocessor-controlled-prosthetic-knees>. October 2007. Accessed December 16, 2014.
4. Chin T, Machida K, Sawamura S, et al. Comparison of different microprocessor controlled knee joints on the energy consumption during walking in trans-femoral amputees: Intelligent Knee Prosthesis (IP) versus C-Leg. *Prosthet Orthot Int*. 2006;30(1):73-80.
5. Hayes, Inc. *Hayes Brief: C-Leg® Prosthesis (Otto Bock HealthCare LP)*. August 2011. [Archived]. Lansdale, PA.
6. Hayes, Inc. *Hayes Brief: C-Leg® Prosthesis (Otto Bock HealthCare LP) for Patients with Above-Knee Amputation*. January 2013. Annual Review last updated January 2014. Lansdale, PA.
7. Hayes, Inc. *Hayes Search & Summary: C-Leg® (Otto Bok® HealthCare)*, September 2009. [Archived October 2010]. Lansdale, PA.
8. Hayes, Inc. *Hayes Search & Summary: Helix3D Hip Joint System (Otto Bock)*. January 2011. [Archived February 2012]. Lansdale, PA.
9. Highsmith MJ, Kahle JT, Carey SL, et al. Kinetic asymmetry in transfemoral amputees while performing sit to stand and stand to sit movements. *Gait Posture*. 2011;34(1):86-91.
10. Kahle JT, Highsmith MJ, Hubbard SL. Comparison of nonmicroprocessor knee mechanism versus C-Leg on Prosthesis Evaluation Questionnaire, stumbles, falls,

Prevea360 Health Plan Utilization Management Policy

walking tests, stair descent, and knee preference. *Jour Rehabil Res Dev.* 2008;45(1):1-14.

11. Klute GK, Berge JS, Orendurff MS, et al. Prosthetic intervention effects on activity of lower-extremity amputees. *Arch Phys Med Rehabil.* 2006;87(5):717-722.
12. Ludwigs E, Bellmann M, Schmalz T, Blumentritt S. Biomechanical differences between two exoprosthetic hip joint systems during level walking. *Prosthet Orthot Int.* 2010;34(4):449-460.
13. Nelson LM, Carbone NT. Functional outcome measurements of a veteran with a hip disarticulation using a Helix 3D hip joint: a case report. *J Prosthet Orthot.* 2011;23(1):21-26.
14. Orendurff MS, Segal AD, Klute GK, et al. Gait efficiency using the C-Leg. *Jour Rehabil Res Dev.* 2006;43(2):239-246.
15. Segal AD, Orendurff MS, Klute GK, et al. Kinematic and kinetic comparisons of transfemoral amputee gait using C-Leg and Mauch SNS prosthetic knees. *Jour Rehabil Res Dev.* 2006;43(7):857-870.
16. Theeven P, Hemmen B, Rings F, et al. Functional added value of microprocessor-controlled knee joints in daily life performance of Medicare Functional Classification Level-2 amputees. *J Rehabil Med.* 2011;43(10):906-915.
17. Theeven PJ, Hemmen B, Brink PR, Smeets RJ, Seelen HA. Measures and procedures utilized to determine the added value of microprocessor-controlled prosthetic knee joints: a systematic review. *BMC Musculoskelet Disord.* 2013;14:333. doi:10.1186/1471-2474-14-333.
18. U.S. Department of Veterans Affairs, Veterans Health Administration, Office of Research and Development, Health Service Research and Development Service, Management Decision and Research Center (MDRC), Technology Assessment Program (TAP). *VA Technology Assessment Program Short Report No. 2, Computerized Lower Limb Prosthesis.* Boston, MA. http://www.research.va.gov/resources/pubs/docs/ta_short_3_00.pdf. March 2000. Accessed December 16, 2014.
19. Washington State Department of Labor and Industries, Office of the Medical Director. *Technology Assessment, Microprocessor-controlled Prosthetic Knees.* Olympia, WA. <http://www.lni.wa.gov/ClaimsIns/Providers/TreatingPatients/ByCondition/CovMedDev/TechAssess/default.asp>. Revised August 16, 2002. Accessed December 16, 2014.

04/2016 MPC:

20. Hayes, Inc. *Hayes Brief Annual Review: C-Leg® Prosthesis (Otto Bock HealthCare LP) for Patients with Above-Knee Amputation.* January 2015. Lansdale, PA.
21. Washington State Department of Labor and Industries. *Coverage Decision: Microprocessor-controlled lower limb prosthetics.* <http://www.lni.wa.gov/ClaimsIns/Providers/TreatingPatients/ByCondition/CovMedDev/SpecCovDec/Microprocessor.asp>. Accessed February 26, 2016.

04/2017 MPC:

No new references.

04/2018 MPC:

Prevea360 Health Plan Utilization Management Policy

No new references.

04/2019 MPC:

22. Cao W, Yu H, Zhao W, et al. The comparison of transfemoral amputees using mechanical and microprocessor- controlled prosthetic knee under different walking speeds: A randomized cross-over trial. *Technol Health Care*. 2018;26(4):581-592. PMID: 29710741.
23. Hasenoehrl T, Schmalz T, Windhager R, et al. Safety and function of a prototype microprocessor-controlled knee prosthesis for low active transfemoral amputees switching from a mechanic knee prosthesis: a pilot study. *Disabil Rehabil Assist Technol*. 2018;13(2):157-165.
24. Howard CL, Wallace C, Perry B, et al. Comparison of mobility and user satisfaction between a microprocessor knee and a standard prosthetic knee: a summary of seven single-subject trials. *Int J Rehabil Res*. 2018;41(1):63-73. PMID 29293160.

04/2020 MPC:

25. Kunutsor SK, Gillatt D, Blom AW. Systematic review of the safety and efficacy of osseointegration prosthesis after limb amputation. *Br J Surg*. 2018 Dec;105(13):1731-1741.
26. Hayes, Inc. *Clinical Research Response: Osseointegrated Prostheses for the Rehabilitation of Amputees (OPRA) (Integrum AB)*. Aug 8, 2018. Lansdale, PA.
27. Otto Bock®. C-Leg® System. December 2, 2019. Available at URL address: http://www.ottobock.com/prosthetics/lower-limb-prosthetics/?utm_source=google&utm_medium=cpc&utm_term=microprocessor%20prosthetics&utm_campaign=US%20Microprocessor%20Knee%20General. Accessed February 21, 2020.

04/2021 MPC:

No new references.

04/2022 MPC:

28. ECRI Institute. *Clinical Evidence Assessment: Patient-specific Prostheses for Total and Partial Knee Arthroplasty*. November 2020. Plymouth Meeting, PA.

04/2023 MPC:

No new references.